

**Clinical  
Epidemiology:  
Evidence of Risk  
and Harm**

# **Patients encounter (possibly) risky exposures**

- **Alcohol during pregnancy (fetal risk)**
- **Electromagnetic fields (cancer risk)**
- **Vasectomy (prostate cancer risk)**
- **Oral contraceptives (thromboembolism risk)**

# **To examine such risks**

- **Evaluate validity of data**
- **Evaluate strength of association between risk and outcome**
- **Evaluate relevance to particular individuals**

# **Most studies of risk are observational studies**

- **These studies are nonrandomized**
- **Need:**
  - **Basic rules of evidence for nonrandomized studies**
  - **Pitfalls to which observational studies are prone**

# Types of observational studies

- Cohort studies
- Case-control studies
- In each case, we need
  - Well-defined outcome
  - Well-defined exposure
  - Clearly identified comparison groups
  - Similarity between groups wrt all other factors that might affect the outcome

# Compare to randomized trial

- **Well-defined outcome: Primary endpoint**
- **Well-defined exposure: Active treatment**
- **Clearly identified comparison groups:  
Control group for comparison**
- **Similarity between groups wrt all other factors  
that might affect the outcome:  
Randomization**

# Cohort studies

- **Prospective**
- **Useful when pts cannot be randomized to exposure**
- **Identify groups of exposed and non-exposed**
- **Follow forward to determine rate of outcome in each group**

# Example of question for cohort study

- **Do operating room personnel suffer higher rates of miscarriage than do others?**
  - **Outcome**
  - **Exposure**
  - **Clearly identified comparison groups**
  - **Similarity between groups re outcome**

# Problems with cohort studies

- **Primary issue: self-selection to group**
- **Same factors that affect selection might also affect outcome**
- **Example: relationship of NSAIDs to GI bleeding**
  - Increased age associated with increased use of NSAIDs
  - Increased age associated with increased GI bleeding
- **Age here is a “confounding variable”**
  - Document differences
  - Adjust for statistically (regression)

# Relative strength of evidence: RCT & Cohort

- Can never rule out the presence of unidentified confounders
- A good cohort study requires a great deal of investigator ingenuity
  - Identify possible biases
  - Document and/or adjust for potential biasing factors
- Thus, cohort studies are inherently less convincing than well-conducted clinical trials

# Case-control studies

- Retrospective
- Useful in assessing risks for very rare outcomes
- Useful when time between exposure and risk is long
  - in utero exposure to DES, clear-cell adenocarcinoma of the vagina
  - asbestos, mesothelioma
- Identify persons with the outcome of interest (“cases”)
- Identify similar individuals (“controls”) who
  - do not have outcome
  - are similar wrt all other factors associated with outcome
- Compare frequency of exposure between groups

# Example of question for case-control study

- **What exposures predispose to lung cancer?**
  - **Outcome**
  - **Exposure**
  - **Clearly identified comparison groups**
  - **Similarity between groups re outcome**

# Problems with case-control studies

- **Susceptibility to unmeasured confounders**
- **Outcome = identified with outcome of interest**
  - **Ascertainment bias**
- **Exposure = retrospective documentation of exposure**
  - **Recall bias**
  - **Interviewer bias**
- **Strength of C-C evidence is inherently more limited than cohort study**

# **Second primary guide:**

- **Were the exposures and outcomes measured in the same way in the groups being compared?**
  - **RCT, cohort: ascertainment of outcome**
  - **Case-control: ascertainment of exposure**

# Secondary guides

- **Is the temporal relationship correct?**
- **Is there a dose-response gradient?**

# Measuring the association between exposure and outcome

- Most common and useful: relative risk =  $p_1/p_2$
- Exposure to encainide was associated with a 2.6-fold elevation in risk of death
- Can obtain relative risk from cohort studies or RCT (prospective)

# Case-control studies: No RR estimate

- CC studies cannot produce an estimate of the relative risk
- $RR = a/(a+b) / c/(c+d)$
- $OR = a/b / c/d$   
=  $ad / bc$
- When outcome is rare,  
OR RR

a	b
c	d

# Assessing applicability

- **Recall that the number needed to treat (NNT) depends on the absolute risk difference, not the relative risk**
- **Need to know something about the prevalence of the bad outcome**

# Outcome: CC Adeno CA

## Exposure: Maternal Smoking

	Case	Control
Exposed	7	21
Unexposed	1	11

**OR= 3.7**

**p = 0.50**

**Outcome: CC Adeno CA**  
**Exposure: Any prior pregnancy loss**

	<b>Case</b>	<b>Control</b>
<b>Exposed</b>	<b>6</b>	<b>5</b>
<b>Unexposed</b>	<b>2</b>	<b>27</b>

**OR= 16.2**

**p = 0.01**

# Outcome: CC Adeno CA

## Exposure: In utero estrogen

	Case	Control
Exposed	7	0
Unexposed	1	32

**OR 300-400**

**p < 0.0001**